



Attorney Docket No.: 2500 DIV 2 CON 2 DIV 3 CON 3

(203-3515 DIV 2 CON 2 DIV 3 CON 3)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peter M. Bonutti

Examiner: Thaler, Michael H.

Serial No.:

10/729,668

Group Art Unit: 3731

Filed:

December 5, 2003

Dated: March 18, 2005

For:

FLUID OPERATED RETRACTORS

**Mail Stop Amendment** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached forms PTO/SB/08A and PTO/SB/08B is brought to the attention of the Examiner. Copies of the foreign patent documents and the non patent literature documents are enclosed for the Examiner's reference. Copies of the U.S. patent documents are not provided in accordance with the waiver of that requirement under 37 C.F.R. § 1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art.

The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited on date below with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 18, 2005

Jennier Puent

these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

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The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- [] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- [] Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
  - [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
  - [] Please charge the \$130.00 petition fee to Deposit Account No. **21-0550**.
- [] Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
- [X] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 21-0550.

[X] Please charge any deficiency as well as any other fee(s) which

may become due under 37 C.F.R. §1.16 and/or 1.17 at any time

during the pendency of this application, or credit any overpayment

of such fee(s) to Deposit Account 21-0550. Also, in the event any

extensions of time for responding are required for the pending

application(s), please treat this paper as a petition to extend the

time as required and charge Deposit Account No. 21-0550 therefor.

The claims of the application as now presented are believed to patentably

distinguish over the prior art and to be in condition for allowance. Early and favorable

consideration of the case is respectfully requested.

Respectfully submitted,

Dana A. Brussel, Esq.

Reg. No. 45,717

Attorney for Applicant(s)

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 5

Complete if Known				
Application Number	10/729,668			
Filing Date	December 5, 2003			
First Named Inventor	Peter M. Bonutti			
Art Unit	3731			
Examiner Name	TBD			
Attorney Docket Number	2500 DIV (I CON (I DIV III CON III (203-3515 DIV (I CON III DIV III CON III)			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	+	US- 1,863,057	6/1932	Innes	
		<sup>US-</sup> 1,909,967	5/1933	Jones	
		<sup>US-</sup> 2,854,983	10/1958	Baskin	
		<sup>US-</sup> 2,936,760	5/1960	Gants	
	ĺ	<sup>US-</sup> 3,039,468	6/1962	Price	
		<sup>US-</sup> 3,253,594	5/1966	Matthews et al.	
		<sup>US-</sup> 3,397,699	8/1968	Kohl	
		<sup>US-</sup> 3,417,745	12/1968	Sheldon	
		<sup>US-</sup> 3,459,175	8/1969	Miller	
		<sup>US-</sup> 3,495,586	2/1970	Regenbogen	
		<sup>US-</sup> 3,774,596	11/1973	Cook	
		<sup>US-</sup> 3,800,788	4/1974	White	
		<sup>US-</sup> 3,875,595	4/1975	Froning	
		us- 3,882,852	5/1975	Sinnreich	
		<sup>US-</sup> 3,915,171	10/1975	Shermeta	
		<sup>US-</sup> 4,077,412	3/1978	Moossun	
		<sup>US-</sup> 4,083,369	4/1978	Sinnreich	
		<sup>US-</sup> 4,177,814	12/1979	Knepshield et al.	,
		<sup>US-</sup> 4,198,981	4/1980	Sinnreich	

Examiner Initials*	Cite No.1	ite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
		2,580,504	10/1986	FR		
		1,323,090	7/1987	SU		
		594,960	3/1978	SU		
		2,639,823	6/8/1990	FR	1	
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Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use às many sheets as necessary)

Complete if Known

Application Number 10/729,668

Filing Date December 5, 2003

First Named Inventor Peter M. Bonutti

Art Unit 3731

Examiner Name TBD

Attorney Docket Number

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (# known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,230,119	10/1980	Blum	
		<sup>US-</sup> 4,295,464	10/1981	Shihata	
		<sup>US-</sup> 4,312,353	1/1982	Shahbabian	
_		<sup>US-</sup> 4,447,227	5/1984	Kotsanis	
		<sup>US-</sup> 4,501,266	2/1985	McDaniel	
		<sup>US-</sup> 4,555,242	11/1985	Saudagar	
		<sup>US-</sup> 4,572,186	2/1986	Gould et al.	
		<sup>US-</sup> 4,585,000	4/1986	Hershenson	
		<sup>US-</sup> 4,589,686	5/1986	Dretler	
		<sup>US-</sup> 4,608,965	9/1986	Anspach, Jr. et al.	
		<sup>US-</sup> 4,610,662	9/1986	Weikl et al.	
		<sup>US-</sup> 4,615,704	10/1986	Frisch	
		<sup>US-</sup> 4,651,717	3/1987	Jakubczak	
		<sup>US-</sup> 4,706,670	11/1987	Andersen et al.	
		US- 4,779,611	10/1988	Grooters et al.	
		<sup>US-</sup> 4,798,205	1/1989	Bonomo et al.	
		<sup>US-</sup> 4,800,901	1/1989	Ronseberg	
		<sup>US-</sup> 4,802,479	2/1989	Haber et al.	
		<sup>US-</sup> 4,861,334	8/1989	Nawaz	

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
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Signature	<b>↓</b>	
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Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/729,668	
INFORMATION DISCLOSURE	Filing Date	December 5, 2003	
	First Named Inventor	Peter M. Bonutti	
STATEMENT BY APPLICANT	Art Unit	3731	
(Use as many sheets as necessary)	Examiner Name	TBD	

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,875,468	10/1989	Krauter et al.	
		<sup>US-</sup> 4,899,729	2/1990		
		<sup>US-</sup> 4,921,478	5/1990	Solano et al.	
		<sup>US-</sup> 4,927,412	5/1990	Menasche	
		<sup>US-</sup> 4,932,956	6/1990	Reddy et al.	
		<sup>US-</sup> 4,954,126	9/1990	Wallsten	
		<sup>US-</sup> 4,966,583	10/1990	Debbas	
		<sup>US-</sup> 4,984,564	1/1991	Yuen	
		<sup>US-</sup> 4,994,047	2/1991	Walker et al.	
		<sup>US-</sup> 4,998,539	3/1991	Delsanti	
		<sup>US-</sup> 5,002,557	3/1991	Hasson	
		<sup>US-</sup> 5,041,093	8/1991	Chu	
		<sup>US-</sup> 5,042,976	8/1991	Ishitsu et al.	
		US- 5,122,122	6/1992	Allgood	
		<sup>US-</sup> 5,163,949	11/1992	Bonutti	
		<sup>US-</sup> 5,514,091	5/1996	Yoon	
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			<u>IGN PATENT DOCU</u>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
-		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	יַ
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Sheet	4	of	5	Attorney Docket Number	2500 DIV II CON II DIV III CON III 203-3515 DIV II CON II DIV III CON III

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>		
		Rafael CINELLI, M.D., "The Inflatable Silastic Bag: A Practical Device that Simplifies Augmentation Mammaplasty." From the Division of Plastic Surgery, Department of Surgery, at the Maimonides Medical Center and Medical Arts Center of New York.	
		Bruce M. RIGG, M.D., "Inflatable Device for Intraoperative Use During Augmentation Mamaplasty."Reconstructive Surgery, May 1982.	
		Gordon H. SASAKI, M.D., "Intraoperative Sustained Limited Expansion (ISLE) as an Immediate Reconstructive Technique." Clinics in Plastic Surgeryvol. 14, No. 3, Jul. 1987.	
		Gordon H. Sasaki, M.D., "Intraoperative Expansion.TM. as an Immediate Reconstructive Technique." Facial Plastic Surgery, 5:4, Jul. 1988.	
		Declaration of Bela S. Denes, M.D., in Support of GSI's Opposition to Origin's Motion for Summary Judgment of Invalidity.	
		Declaration of John H. Payne, M.D., in Support of GSI's Opposition to Origin's Motion for Summary Judgment of Invalidity.	
		Declaration of Rafael Cinelli, M.D., in Support of GSI's Opposition to Origin's Motion for Summary Judgment of Invalidity.	
		Rebuttal Expert Report of John H. Payne, M.D.	
		Rebuttal Expert Report of Rafael Cinelli, M.D.	
		Expert Report of Ernest K. Manders, M.D., executed date Dec. 1, 1997.	

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(ose as many sheets as necessary)			ecessary)	Examiner Name	TBD		
Sheet	5	of	5	Attorney Docket Number	2500 DIV II CON II DIV III CON III 203-3515 DIV II CON II DIV III CON III		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Expert Report of Guy Voeller, M.D., executed date Dec. 1, 1997.	
		Declaration of Guy Voeller in Support of Origin's Motion for Summary Judgment of Invalidity	
		Henry T. HOFFMAN, , M.D., and Shan H. BAKER, M.D. "Nasal Reconstruction with the Rapidly Expanded Forehead Flap," Laryngoscope, 99:1096-1098.	
		R. WITTMOSER, Retroperitoneoscopy: A Preliminary Report, pp. 760-761.	
		Dr. Sanchez de Badajoz CHAMMORRO et al., Stress Incontinence: A New Endoscopic Approach, Urology, vol. 36, No. 5, pp. 403-405.	
		Order Denying Plaintiff General Surgical Innovations, Inc.'s Motion for Partial summary Judgment of Claim 3 or U.S. Patent No. 5,514,153.	
		Declaration of Edward L. Felix, M.D., in Support of origin's Opposition to GSI's Motion for Partial Summary Judgment.	
		Uretropexia Laparoscopia, Arch. Esp. de Urol. 46, 7 (642-644) 1993 (With English Translation).	
		Urethropexia Laparoscopica Extraperitoneal, Arch. Esp. de Urol. 47.4 (415-418) 1994 (English Translation Attached).	

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Signature	 Considered	

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